

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Thomas G. Woolston

Art Unit

: Unknown

Serial No.: 10/824,322

Examiner: Unknown

Filed Title

: April 13, 2004

: CONSIGNMENT NODES

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT AND NOTICE OF LITIGATION UNDER MPEP 2001.06(C)

Applicants call attention to the attached Information Disclosure Statement and documents listed on the attached form PTO-1449.

The examiner's attention is also drawn to documents A641-A667 which were filed in litigation between the assignee of the above-referenced application and eBay, Inc., in the case MercExchange L.L.C. v. eBay, Inc. et. al., Civil Action No. 2:01-CV-736, in the U.S. District Court for the Eastern District of Virginia, involving the assignee of the above-referenced application, and at least one patent that is a family member to the above-referenced application. Applicants make no representations herein regarding the materiality of the documents submitted herewith. Applicants are merely making these documents available to the Patent Office as a result of the contentions of the parties in the above-referenced litigation.

For the examiner's convenience, copies of the references listed on the attached form PTO-1449 are being provided on two compact discs filed herewith. Paper copies will be provided if requested by the examiner.

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit

Signature

Jennifer H. Payne

Typed or Printed Name of Person Signing Certificate

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This statement is being filed before receipt of a first Office action on the merits. Please apply any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

's Docket No.: 13466-002016

April 28, 2004

Reg. No. 35,322

Fish & Richardson P.C. PTO Customer No. 20985 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5070 Facsimile: (858) 678-5099

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13466-002016

Application No. 10/824,322

**Information Disclosure Statement** by Applicant (Use several sheets if necessary)

Applicant Thomas G. Woolston

Filing Date April 13, 2004 Group Art Unit

(37 CFR §1.98(b))

· 		<u> </u>	U.S. Pate	ent Documents			Filing Date
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	If Appropriate
7777	AA	3,573,747	04/06/1971	Adams et al			
	AB	3,581,072	5/25/1971	Nymeyer			
	AC	4,247,759	1/27/1981	Yuris, et al.			
	AD	4,270,042	5/26/1981	Case			
	AE	4,305,059	12/08/1981	Benton			
	AF	4,346,442	8/24/1982	Musmanno			
	AG	4,449,186	5/15/1984	Kelly, et al.			
	AH	4,553,222	11/12/1985	Kurland, et al.			
	AI	4,674,044	6/16/1987	Kalmus et al.			
	AJ	4,677,552	6/30/1987	Sibley, Jr.			
	AK	4,734,858	03/29/1988	Schlafly			
	AL	4,739,478	4/19/1988	Roberts et al.			
	AM	4,742,457	5/3/1988	Leon et al.			
	AN	4,751,640	6/14/1988	Lucas et al.			
	AO	4,755,940	07/05/1988	Brachtle et al			
<del> </del>	AP	4,775,935	10/04/1988	Yourick		·	
	AQ	4,789,928	12/6/1988	Fujisaki			
	AR	4,795,890	01/03/1989	Goldman			
	AS	4,799,156	1/17/1989	Shavit et al.			
<u> </u>	AT	4,812,628	03/14/1989	Boston et al			
	AU	4,823,265	4/18/1989	Nelson			
	AV	4,864,516	09/05/1989	Gaither et al			
	AW	4,876,648	10/24/1989	Lloyd			·
	AX	4,885,685	12/5/1989	Wolfberg et al.			
	AY	4,887,208	12/12/1989	Schneider et al			
	AZ	4,903,201	2/20/1990	Wagner			
	AAA	4,910,676	3/20/1990	Alldredge			

**Examiner Signature** 

Date Considered

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13466-002016

Applicant

Application No. 10/824,322

Information Disclosure Statement by Applicant

Thomas G. Woolston

(Use several sheets if necessary) Filing Date
April 13, 2004

Group Art Unit

(37 CFR §1.98(b))

U.S. Patent Documents Filing Date Ιf Publication Desig. Document Examiner Patentee Class Subclass Appropriate Number Date Initial ID 05/01/1990 Krikke et al 4,922,521 ABB 4,935,870 06/19/1990 Burk et al ACC 4,947,028 08/07/1990 Gorog ADD Ohta et al 4,977,595 12/11/1990 AEE Girouard et al 01/01/1991 4,982,346 AFF 4,992,940 2/12/1991 Dworkin AGG Webber et al. 5,021,953 6/4/1991 AHH 10/22/1991 Nakagawa 5,060,153 AII 11/5/1991 Lindsey et al. 5,063,507 AJJ 5,077,607 Johnson et al 12/31/1991 AKK Silverman et al. 5,077,665 12/31/1991 ALL Lupien et al. 5,101,353 3/31/1992 AMM. Silverman et al. 8/4/1992 5,136,501 ANN 12/1/1992 Wiseman 5,168,446 AOO 5,191,613 3/2/1993 Graziano et al. APP 3/9/1993 Boes 5,193,056 AQQ Vitagliano et al. 5,206,803 4/27/1993 ARR 06/15/1993 Lawlor et al 5,220,501 ASS 8/10/1993 Bijnagte 5,235,680 ATT 5,237,500 8/17/1993 Perg et al. AUU 5,239,462 8/24/1993 Jones et al. AVV 5,243,515 9/7/1993 Lee AWW 5,247,575 09/23/1993 Sprague et al AXX 11/2/1993 Hartheimer et al. 5,258,908 AYY 11/16/1993 Earle 5,262,942 AZZ Monroe et al-01/18/1994 5,280,305 AAAA

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**ABBB** 

Date Considered

Moe et al

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

01/18/1994

5,280,422

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13466-002016

Application No. 10/824,322

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

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Group Art Unit

(37 CFR §1.98(b))

April 13, 2004

			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ACCC	5,283,731	2/1/1994	Lalonde et al.			·
<del></del>	ADDD	5,285,383	2/8/1994	Lindsey et al.			
	AEEE	5,297,031	03/22/1994	Gutterman et al			
	AFFF	5,297,032	03/22/1994	Trojan et al			
	AGGG	5,305,195	04/19/1994	Murphy			
	АННН	5,305,200	4/19/1994	Hartheimer et al.			
	AIII	5,319,542	06/07/1994	King			
	AJJJ	5,323,315	6/21/1994	Highbloom			
	AKKK	5,325,297	6/28/1994	Bird et al.			
	ALLL	5,329,589	7/12/1994	Fraser et al.			
	AMMM	5,334,822	08/02/1994	Sanford			
	ANNN	5,335,170	08/02/1994	Petteruti et al			
	A000	5,336,870	08/09/1994	Hughes et al			
	APPP	5,341,429	08/23/1994	Stringer et al			
	AQQQ	5,345,091	09/06/1994	Craig et al			
	ARRR	5,347,306	9/13/1994	Nitta			
	ASSS	5,347,632	9/13/1994	Filepp et al.			
	ATTT	5,351,186	09/27/1994	Bullock et al			
	AUUU	5,351,293	09/27/1994	Michener et al			
	AVVV	5,375,055	12/20/1994	Togher, et al.			
	AWWW	5,383,113	01/17/1995	Kight et al			
· · · · · ·	AXXX	5,394,324	2/28/1995	Clearwater			
•	AYYY	5,402,336	08/02/1994	Spiegelhoff et al			
	AZZZ	5,414,833	05/09/1995	Hershey et al			
	AAAA	5,424,944	6/13/1995	Kelly et al.			
	ABBBB	5,426,281	6/20/1995	Abecassis			
· ·	ACCCC	- 100 550	06/27/1995	Brookes			

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	ADDDD	5,448,625	9/5/1995	Lederman			
	AEEEE	5,451,998	9/19/1995	Hamrick			
	AFFFF	5,453,926	09/26/1995	Stroshcin et al			
	AGGGG	5,465,291	11/7/1995	Barrus et al.			·
	АНННН	5,485,510	01/16/1996	Colbert			
	AIIII	5,521,815	05/28/1996	Rose		,	
	AJJJJ	5,526,479	6/11/1996	Barstow et al.			
	AKKKK	5,553,145	09/03/1995	Micali			
<u> </u>	ALLLL	5,557,518	9/17/1996	Rosen			
	AMMMM	5,557,728	09/17/1996	Garrett et al			
	ANNNN	5,561,707	10/1/1996	Katz			
	A0000	5,584,025	12/10/1996	Keithley et al.			
	APPPP	5,592,375	1/7/1997	Salmon et al.			·
)	AQQQQ	5,594,732	1/14/1997	Bell et al.			
	ARRR	5,598,557	01/28/1997	Doner et al			
	ASSSS	5,611,051	03/11/1997	Pirelli			
	ATTTT	5,625,818	04/29/1997	Zarmer et al			
	AUUUU	5,625,823	4/29/1997	Debenedictis et al.			
	AVVVV	5,629,982	05/13/1997	Micali			
	AWWWV	y 5,630,125	05/13/1997	Zellweger			
		5,657,389	08/12/1997	Houvener			
	AYYYY		09/02/1997	Green et al			
	AZZZZ	5 ((4 111	9/2/1997	Nahan et al.			
	AAAAA		9/2/1997	Fraser			
	ABBBBI		09/09/1997	Wojcik et al			
	ACCCC	1 - 100 150	11/18/1997	Lupien et al			
		5,694,546	12/02/1997	Reisman			

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Substitute Disclosure Form (PTO-1449)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13466-002016

April 13, 2004

Application No. 10/824,322

**Information Disclosure Statement** by Applicant

(Use several sheets if necessary)

Applicant

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Group Art Unit

(37 CFR §1.98(b))

Examiner Initial	Desig. ID	Document Number	Publication Date	ent Documents  Patentee	Class	Subclass	Filing Date If Appropriate
1111111111		5,694,551	12/02/1997	Doyle et al			
	AFFFFF	5,696,965	12/09/1997	Dedrick			
	AGGGGC	5,706,457	01/06/1998	Dwyer et al			
	АНННН	5,710,889	01/20/1998	Clark et al			
	AIIIII	5,715,314	2/3/1998	Payne et al.			
	AJJJJJ	5,717,989	02/10/1998	Tozzoli et al			
	AKKKKK	5,724,521	3/3/1998	Dedrick		<u></u>	
	ALLLLL	5,727,165	03/10/1998	Ordish et al			·
	AMMMMI	5,745,882	4/28/1998	Bixler et al.			
	ANNNN	5,752,238	5/12/1998	Dedrick			
	A00000	5,771,291	01/23/1998	Newton et al			
	APPPPP	5,771,354	6/23/1998	Crawford			
	AQQQQQ	5,771,380	06/23/1998	Tanaka et al			
·	ARRRR		06/30/1998	Berent et al			
	ASSSSS	5,778,367	07/07/1998	Wesinger et al			
	ATTTTT	5,794,207	8/11/1998	Walker et al.			
	AUUUUL	5,794,210	8/11/1998	Goldhaber et al.			
	AVVVV		08/11/1998	Brown			
	www		08/25/1999	Klingman			
	AXXXXX	5,803,500	09/08/1998	Mossberg			
	AYYYY	5 000 144	09/15/1998	Sirbu et al			
		5,818,914	10/6/1998	Fujisaki			
	AAAAA	5.006.044	10/20/1998	Huberman			
	ABBBBB	5 000 450	11/03/1998	Cameron et al			
		5,835,896	11/10/1998	Fisher et al			
	ADDDDD	7.000.006	11/17/1998	Doyle et al.			
		5,842,040	11/24/1998	Hughes et al.			

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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13466-002016

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April 13, 2004

U.S. Patent Documents Filing Date							Filing Date
Examiner Initial	Desig.	Document Number	Publication Date	Patentee	Class	Subclass	If Appropriate
	AFFFFF	5,845,265	12/1/1998	Woolston			
	AGGGGG	5,845,266	12/01/1998	Lupien et al			
	АНННН	5,850,442	12/15/1998	Muftic			
	AIIIII	5,864,823	1/26/1999	Levitan			
<del></del>	AJJJJJJ	5,870,552	2/9/1999	Dozier et al.			
	AKKKKK	5,870,717	2/9/1999	Wiecha			
<del></del>	ALLLLLI	5,872,848	02/16/1999	Romney et al			
	MMMMM	5,873,069	02/16/1999	Reuhl et al			
	ANNNNN	5,878,400	3/2/1999	Carter, III			
	A00000	5,878,423	3/2/1999	Anderson et al.			
	APPPPPP	5,884,056	03/16/1999	Steele			
	AQQQQQ	5,890,138	3/30/1999	Godin et al.			
	ARRRRI	- 00 ( 0.70	4/20/1999	Haber			
	ASSSSS	5,903,647	5/11/2019	Ronning			
	ATTTTT	5,905,974	05/18/1999	Fraser et al			
	AUUUUU	5,905,975	05/18/1999	Ausubel			
		5,915,209	6/22/1999	Lawrence			
	wwww	200000	07/13/1999	Richard et al			
	AXXXXX	5,926,794	07/20/1999	Fethe			
	AYYYYY	5,933,813	8/3/1999	Teicher et al.			
	AZZZZZ	5,946,467	8/31/1999	Pathakis et al.			
<del></del>	AAAAA	5,987,425	11/16/1999	Hartman et al.			
	BBBBB	5,991,739	11/23/1999	Cupps et al			
	CCCCC	5,999,915	12/07/1999	Nahan et al			·
	DDDDDI	1	12/21/1999	Berent et al			
	AEEEEEI	6.000.444	2/22/2000	Bezos et al.			
	AFFFFF	6 0 40 505	04/11/2000	Gifford			

**Examiner Signature** 

**Date Considered** 

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Substitute Disclosure Form (PTO-1449)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13466-002016

Application No. 10/824,322

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Thomas G. Woolston

Filing Date

Applicant

Group Art Unit

(37 CFR §1.98(b))

April 13, 2004

		<del></del>	U.S. Pate	ent Documents			
Examiner Initial	Desig.	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	GGGGGG	6,055,516	04/25/2000	Johnson et al			
	ннннн	6,055,573	4/25/2000	Gardenswartz et al.			
	AllIIII	6,061,660	5/9/2000	Eggleston et al.			·
	AJJJJJJ	6,185,683	2/6/2001	Ginter et al.			
	KKKKKK	6,202,051	03/13/2001	Woolston			
	ALLLLL	6,205,432	3/20/2001	Gabbard et al.			
	иммми	6,259,699	7/10/2001	Opalka et al.			
	NNNNN	6,266,651	7/24/2001	Woolston	· ·		
	000000	6,272,474	8/7/2001	Garcia			
	APPPPPP	6,282,516	8/28/2001	Giuliani			
	QQQQQQ	6,292,786	9/18/2001	Deaton et al.			_
	RRRRRR	6,336,105	1/1/2002	Conklin et al.	•		
	ASSSSSS	6,336,138	1/1/2002	Caswell et al.		·	
	ATTTTTT	6,377,937	4/23/2002	Paskowitz			
	บบบบบเ	6,397,212	5/28/2002	Biffar			,
	VVVVV		7/2/2002	Rackson et al.			
	vwww	6 105 100	7/30/2002	Bowman-Amuah			

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Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes	lation No
Illitiai	XXXXXX	0540000 4.0	05/19/1993	Europe				
	YYYYY	2 658 635	8/23/1991	France				
	AZZZZZZ	2,102,606	02/02/1983	Great Britain				
	AAAAA	9300266	9/1/1994	Netherlands				
	BBBBBB	WO 91/16691	10/31/1991	WIPO				
	ccccc	WO 92/15174	9/3/1992	WIPO				
	DDDDDD	WO 95/16971	06/22/1995	WIPO				

Examiner Signature

Date Considered

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. Application No. 13466-002016 10/824,322			
	closure Statement	Applicant Thomas G. Woolston			
(Use several sh (37 CFR §1.98(b))	eets if necessary)	Filing Date April 13, 2004	Group Art Unit		

	Fore	eign Patent Do	cuments or P	ublished Foreign P	atent App	olications			
Examiner	Desig.	Document	Publication	Country or			Trans	lation	
Initial	m l	1 1	Number	Date	Patent Office	Class	Subclass	Yes	No
	EEEEEEI	WO 96/34356	10/31/1996	WIPO					
	AFFFFFF	WO 97/19415	5/29/1997	WIPO (3 parts)					
	GGGGG	WO 97/37315	10/9/1997	WIPO			<u> </u>		
	ннннн	WO 98/19259	5/7/1998	WIPO (2 parts)					
	AIIIIIII	WO 98/35469	8/13/1998	WIPO (2 parts)					
	AJJJJJJJ	WO 99/63461	12/09/1999	WIPO					
	ккккк	WO 99/06913	2/11/1999	WIPO					
	LLLLLLI	WO 99/13417	3/18/1999	WIPO					
	ммммм	WO 00/08578	2/17/2000	WIPO					
	מממממ	WO 00/17797	3/30/2000	WIPO					
	000000	WO 00/62231	10/19/2000	WIPO					

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	APPPPPPPP	"[ADS FOR ANTIQUE SHOWS]," The Inside Collector, October 1992, Vol.3, No.2
	QQQQQQQ	"Acid Rain Auctions Is Successful," American Metal Market, Volume 103 No. 69, April 11, 1995
	RRRRRRR	"Active Message Processing Messages as Messengers," (Illegible) Centre for Mathematics and Computer Science, Report CSR9035 August
	ASSSSSSS	"Ameritech Library Services Unveils Webpac at ALA," PR Newswire, June 27, 1995
	TTTTTTTT	"An Analysis and Recommendation for the Terms of the Reclaim Trading Credit," Report submitted to South Coast Air Quality Management District, Contract No. R-C93074, April 27, 1993
	ייייייייייייייייייייייייייייייייייייייי	"Anonymous Delivery of Goods in Electric Commerce," <u>IBM Technical Disclosure Bulletin</u> , March 1996, Volume 39, No. 3, p. 363-364.
	vvvvvvv	"Anonymous Delivery of Goods in Electronic Commerce (Continued)," IBM Technical Disclosure Bulletin, March 1996, Volume 39, No. 3, p.365-366
	wwwww	
	xxxxxxx	Transaction, international Standard, 1907
	YYYYYYY	"Big Players Team Up To Provide Affordable Web Security Standards," <u>Electronic Marketplace</u> Report, April 18, 1995, Vol.9, No.5, Simba Information, Inc.
	ZZZZZZZZ	"BookIt!," Web Ventures 1996, http://www.webventures.com

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if r next communication to applicant.	
	Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 13466-002016	Application No. 10/824,322	
	closure Statement pplicant	Applicant Thomas G. Woolston		
	heets if necessary)	Filing Date April 13, 2004	Group Art Unit	

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner	D : ID	Document
Initial	Desig. ID	"Booklt!," Web Ventures, Datalex Communications USA, Inc., Web Ventures, 1999,
	AAAAAAA	http://www.webventures.com
	BBBBBBBB	"Business Plan for Intermart", Intermart, July 13, 1994
	CCCCCCC "Business Plan for Intermart," Intermart, August 18, 1994, p. 1-27.	
	DDDDDDDI	"Cashing in on Cyberspace Tribune's Big Stake in Interactive," NewsInc, November 27, 1995, Volume 7, No. 23
	EEEEEEEE	"Central Motor Auction in Strategic Alliance with MITAC: 2," Extel Examiner, October 28, 1994
	FFFFFFFF	"Checkfree Corp and Internet Provider Go For Secure Access," <u>Information Access Company</u> , February 1, 1995, Gralla Publications, U.S.
	GGGGGGG	"Chicago Firm Offers Internet Brokerage Services," Simba Information, Inc., Media Daily, December 21, 1994, Section No. 342, Volume 2
	нннннн	"Cig Search BOTS," January 27, 2002
	AIIIIIIII	"Competition in the Dutch Flower Markets," November 6, 2001
	AJJJJJJJJ	"CompuServe's Big Push Will Impact Online Commerce," <u>Electronic Information Report</u> , August 8, 1995, Vol.9, No.15, Simba Information, Inc.
	KKKKKKK	"Computer museum holds an internet auction," CMP 2000; 5/9/94
	LLLLLLLL	"Earth Day Ala Internet International Superstars Give Autographed Poster to Save the Earth-Silent Auction at San Francisco CA Artrock Gallery Will be Open to 26 Million Internet Users April 22 Through May 21," Business Wire, February 10, 1995
	мммммм	"Earth Day on the Internet—Rockers and Performances Give Autographed Posters to Save the Earth," Newswire, March 14, 1995, PR News Wire Assoc., Inc.
	וחמממממ	"EIT computer museum: first-ever internet auction produces results for the computer museum." Business Wire, 4/28/94.
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	РРРРРРРР	SHARP, Amy, "From army knives to gold coins, collectors attend 'on-line' auctions," Memphis Business Journal v. 8(10) s1, p. 10, 7/28/86.
	QQQQQQQ	auction. Los Angeles Times Tome Barton, con 21, p. c, c.c.
	RRRRRRR	"Google Newsgroup RE: Press/Digital and Open Market Partner to do Business Over the Internet," Digital Press & Analysis News, November 7, 1994
	SSSSSSSS	"Interchange Message Specification for Debit and Credit Card Message Exchange Among Financial Institutions," American National Standard, May 16, 1988, American Bankers Association
	TTTTTTTT	"Intermart Architecture and Design", <u>Intermart</u> , May 1, 1995, p. 1-10, California
	บบบบบบบ	
	vvvvvv	"Internet providers take next step toward electronic commerce." Electronic Marketplace Report, v. 8 (24).

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Attorney's Docket No.

13466-002016

Application No.

13466-002016

Application No.

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Attorney's Docket No. 13466-002016

Application No. 13466-002016

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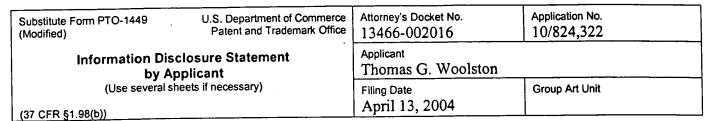
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U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 13466-002016

Application No. 10/824,322

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Applicant Thomas G. Woolston

Filing Date

Group Art Unit

(37 CFR §1.98(b))

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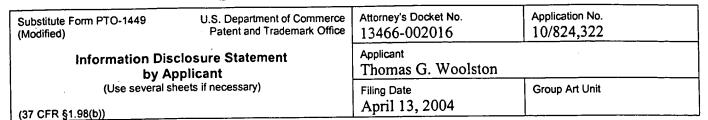
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Substitute Form PTO-1449 (Modified)  U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 13466-002016	Application No. 10/824,322	
(Use several sheets if necessary)		Applicant Thomas G. Woolston		
		Filing Date April 13, 2004	Group Art Unit	

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